

# **A New Mandarin Audio-Visual Database for Audio-Visual Speech Recognition System**

**Wen-Yuan Liao<sup>1</sup>**

**Tsang-Long Pao<sup>2</sup>**

<sup>1</sup>Lecturer, Department of Computer Science and Engineering De-Lin Institute of Technology

<sup>2</sup>Associate Professor, Department of Computer Science and Engineering Tatung University

## **Abstract**

For past several decades, visual speech signal processing has been an attractive research topic for overcoming certain audio-only recognition problems. In recent years, there have been many automatic speech-reading systems proposed, that combine audio and visual speech features. For all such systems, the objective of these audio-visual speech recognizers is to improve recognition accuracy, particularly in the difficult condition. In this paper, we will focus on the visual feature extraction for the audio-visual recognition. The audio-visual recognition consists of two main steps: feature extraction and recognition. In the proposed approach, we extract the visual motion feature of the lip for the front end processing. In the post-processing, the Gaussian mixture model (GMM) is used for the audio-visual speech recognition. We will study and use this method in the proposed system, with some preliminary experiments. Conclusions are also discussed.

Key word: Audio-visual speech recognition, Gaussian mixture model, Audio-visual database.

# 國語語音聽視覺資料庫與語音辨識系統

廖文淵<sup>1</sup>

包蒼龍<sup>2</sup>

<sup>1</sup>德霖技術學院資訊工程系專任講師

<sup>2</sup>大同大學資訊工程研究所副教授

## 中文摘要

近幾年來，語音視覺特徵用於輔助語音辨識的方法，已經發展出來，並具有良好的效能，用以克服語音辨識在背景吵雜下辨識不佳的缺點。而此辨識系統則包含有視覺辨識與語音辨識，前者通常可分成影像前處理、影像特徵擷取，以及影像辨識模型之建立與學習等三個步驟。在語音聽視覺辨識系統的應用上，我們主要研究嘴唇影像前處理及特徵的擷取兩個步驟，將唇部影像轉換成可用於辨識的特徵，藉以增加聽視覺辨識的正確性。而在後端的辨識模型則使用高斯混合模型(GMM)作為辨識平台。

此外，為了提高語音視覺辨識的辨識率，相關資料庫的建立是必要的；然而，目前此類影音資料庫多為英語為主，鮮少有中文的影音資料庫，因此，我們建立了中文聽視覺資料庫以作為發展之系統測試用，並用以評估資料庫之辨識系統之效能。另外，目前「聽視覺語音辨識」仍處於發展階段，因此建立此語音視覺資料庫將可做為學術研究以及聽視覺語音辨識系統的發展上。

關鍵字：語音聽視覺辨識系統、高斯混合模型、聽視覺語音資料庫。

## I. Introduction

Automatic speech recognition (ASR) by machine has been a goal and an attractive research area for past several decades. However, in spite of the enormous of researches, the performance of current ASR is far from the performance achieved by humans. Most previous ASR systems make use of the acoustic speech signal only and ignore the visual speech cues. They all ignore the auditory-visual nature of speech.

In recent years, there have been many automatic speech-reading systems proposed, that combine audio and visual speech features. For all such systems, the objective of these audio-visual speech recognizers is to improve recognition accuracy, particularly in difficult condition. They most concentrated on the two problems of visual feature extraction and audio-visual fusion. Thus, the audio-visual speech recognition is a work combining the disciplines of image processing, visual/speech recognition and multi-modal data integration. Recent reviews can be found in Mason, Henneke, Goldschen and Chen. In this paper, we mainly concentrate on the bimodal speech recognition.

On the audio-visual database, however, there has been some effort in creating database for the audio-visual research area, but these are almost in English or other language, such as Tulips1, AVLetters, M2VTS, CUAVE, etc. The Mandarin database is rare in comparison with other languages. In our research, we record and create a new audio-visual database of Mandarin speech.

In this paper, we will focus on the visual feature extraction for the audio-visual recognition. The audio-visual recognition consists of two main steps: feature extraction and recognition. In the proposed approach we extract the visual motion feature of the lip for the front end processing. In the post-processing, the Gaussian mixture model (GMM) is used for the audio-visual speech recognition. The overall structure of the proposed system is depicted in Fig.1.

Basically, the visual or pattern recognition can be divided into three phases: data acquisition, front end preprocessing, and decision classification or recognition. In the data acquisition phase, analog data

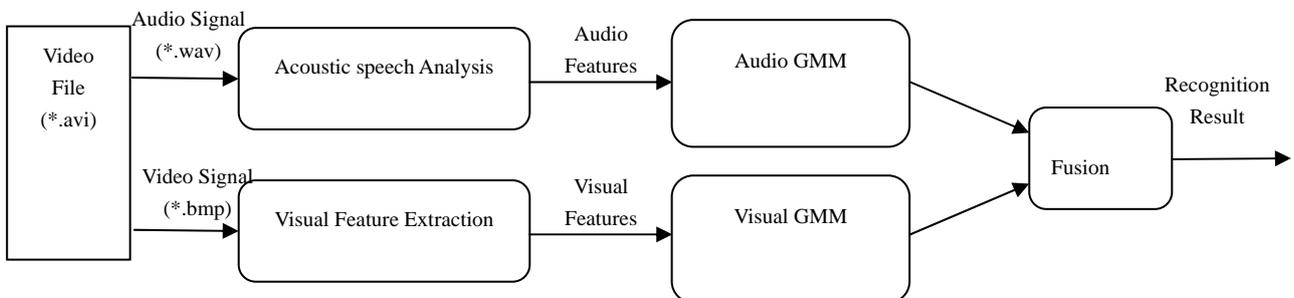


Figure 1: The overall structure of audio-visual extraction and recognition system.

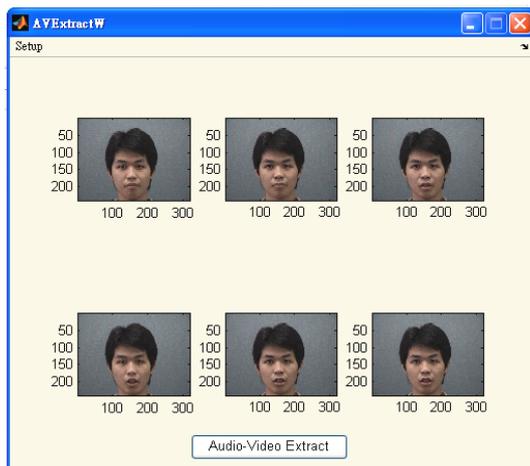


Figure 2: The example of result performed by the Audio-Visual Extraction System (AVES).

from the real world are gathered using a transducer and converted into digital form or visual pattern suitable for computer processing. In the second step, the visual pattern is converted into a set of pattern vectors so that the data are suitable for computer analysis. The output will then be a pattern vector, which appears as a point in a pattern space. The feature extraction function is used for the dimension reduction. It converts the original data to a suitable form, or so called feature vectors, for use as input to the recognition processor. Finally, the recognition processor operates on the feature vectors and yields a classification decision.

The organization of this paper is as follows. The audio-visual database format is introduced in Section 2. The extraction of the acoustic and visual features is presented in Section 3. The experimental results and conclusions are given in Section 4 and 5.

## II. Audio-Visual Database Format

Our audio-visual database consists of two major parts, one in English and one in Mandarin. The video in English was recorded from 35 speakers while the video in Mandarin was recorded from 40 speakers. The importance of the database is to allow the comparison of recognition of English speech and Mandarin speech. The video is in color with no visual aids given for lip or facial feature extraction. In both parts of database, each individual speaker was asked to speak 10 isolated English and Mandarin digits, respectively, facing a DV camera.

The video was recorded at a resolution of  $320 \times 240$  with the NTSC standard of 29.97 fps, using a 1-mega-pixel DV camera. The on-camera microphone was used to record resulting speeches. Lighting was controlled and a blue background was used to allow change of different backgrounds for further applications. In order to split the video into the visual part and the audio part, we developed a system to decompose the video format (\*.avi) into the visual image files (\*.bmp) and speech wave files (\*.wav) automatically. Figure 2 shows the example of the result from our Audio-Visual Extraction



Figure 3: The sample of images for our new database

System (AVES). Some samples of extracted images from the video files of our audio-visual database are shown in Fig. 3.

### III. Feature Extraction

#### A. Acoustic Features

Since speech basically is a non-stationary random process, it is stochastic and its statistics are time-varying. According to the study of speech production, human speech's differences are occurred from mouth and vocal tract varying. These properties are short-time stationary and present on frequency domain. In order to recognize a speech, we do not only get the features on time domain, but also on frequency domain.

There are several kinds of parameters that are usually used for feature extraction, such as linear prediction coefficients (LPC), perceptual linear prediction (PLP), cepstrum, and mel-frequency cepstrum coefficient (MFCC), etc.

The mel-frequency cepstrum coefficient (MFCC) features have been shown to be more effective than other features. In the case of MFCCs, the windowing function is first applied to the frame before the short-time log-power spectrum is computed. Then the spectrum is smoothed by a bank of triangular filters, in which the pass-bands are laid out on a frequency scale known as mel-frequency scale. The filtering is performed by using the DFT.

In our proposed approach we extract the acoustic features by using the MFCC features, including basic and derived features.

#### B. Visual Features

Generally speaking, the features for visual speech information extraction from image sequences can be grouped into the following classes: geometric features, model based features, visual motion features, and image based features. In our system, the visual motion feature is used.

The motion-based feature approach assumes that visual motion during speech production contains

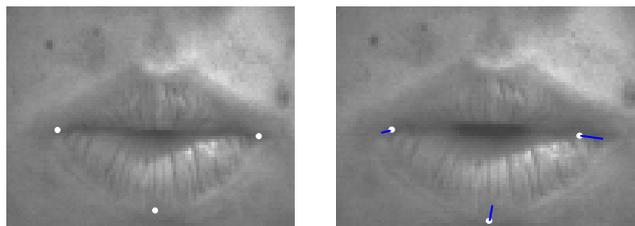


Figure 4: Motion vectors between consecutive images of lips: original images (left) and motion vectors (right).

relevant speech information. Visual motion information is likely to be robust to different skin reflectance and to different speakers. However, the algorithms usually do not calculate the actual flow field but visual flow field. A further problem consists in the extraction of related features from the flow field. However, recent research about motion-based segmentation got more performance than previous experiments. So the visual motion analysis can improve the performance of recognition.

An image is partitioned into a set of non-overlapped, fixed size, small rectangular blocks. The translation motion within each block is assumed to be uniform. This model only considers translation motion originally, but other types of motion, such as rotation and zooming, may be approximated by the piecewise translation of these small blocks.

### C. Feature Extraction

In our system, the region of interested is first extracted from the original image. The main features, corners or edges of the mouth, are then found as the cues for motion estimation. The motion vectors computation corresponding to the feature points are performed by the block matching based motion estimation algorithm. Finally, the motion vectors for selected feature points are carried out for the feature vectors as the input of the recognition. Figure. 4 shows the selected feature points, marked by the white circle dots, and their corresponding motion vectors.

The motion estimation used in this paper is called the feature-based motion estimation, which is quite advanced and new development in motion segmentation technology. The previous system has shown that the movements of lips are good cues for speech recognition. By the experiments described in some researches, it seems that the feature-based motion is a clear feature. Furthermore, according to the properties of feature-based motion estimation and lips movements, the obtained features are good for the recognition.

## IV. Preliminary Experiments

### A. Recognition Systems

As described in previous section, speech perception by human is a bimodal process characterized by high recognition accuracy and attractive performance degradation in the presence of distortion. As proven experimentally, acoustic and visual speeches are correlated. They show the complementarity

and supplementary for speech recognition, especially under noisy conditions. However, precisely how and when humans integrate the audio and visual stimuli is still not clear.

In this research, we use the Gaussian mixture model (GMM) for the recognition. Our recognition experiment begins with the acoustic-only, then acoustic with noise, visual-only and finally audio-visual recognition. The acoustic and visual parameters are used to train each model, by means of GMM. This model is widely used in many ASR systems. The audio-visual recognizer uses a late-fusion system with separate audio and visual GMM-based speech recognizers.

## B. Experimental Results

In this experiment, we use our database as the input data. The database used here contains the digits 0 to 9 in English and in Mandarin by 20 speakers, 16 males and 4 females. There are a total of 400 utterances. In the training phase, the 300 utterances of the database containing English and Mandarin of digits 0-9 from all speakers are used as the training set. After we train the model, the other 100 utterances are used as the testing set in testing phase.

The video stream is a sequence of images with resolution of  $100 \times 75$  pixel from the database. Before we compute the motion estimation, some techniques are applied to the images in order to make the computation convenient and increase the precision of the motion estimation. In our system, original  $100 \times 75$  pixel image is extracted as a  $100 \times 72$  pixel window around the center of mass for computational convenience.

Table1: Comparison of recognition rate using different features at various noise level.

	Recognition rate (%)		
	<i>Audio-only</i>	<i>Visual-only</i>	<i>Audio-visual</i>
clean	98.75	63	99.54
30dB	90.90	63	95.21
25dB	82.50	63	85.45
20dB	82.50	63	85.45
15dB	75.00	63	78.27
10dB	68.75	63	74.57
5dB	45.00	63	62.80
0dB	28.75	63	54.35

The audio recognizer use twenty-six MFCC features extracted from speech sample at 8kHz. Speech noise is added by using random noise at various SNRs. The system is trained on clean speech and tested under noisy conditions. Initial results for the clean speech are good. See Table 1 for a summary of these results.

The GMM-based recognizer implemented in MATLAB on Pentium-IV PC, using 8 Gaussian mixtures. The time for feature extraction is under 4 seconds, and GMM parameter training spends about 8 seconds.

## V. Conclusions

In this paper, our focus is to construct a new audio-visual database and a lip-motion based feature extractor for the recognition system with a GMM based recognizer. The experimental results show a comparison between English and Mandarin speech recognition, and the improvement of using both audio and visual features.

The results for our proposed approach at the various SNRs for the speech show the method including the visual or lip features may obtain better performance than using the audio-only features. The future work is to improve the overall recognition rate using a more robust recognizer such as hidden Markov model (HMM).

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